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CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8 I hereby certify that this correspondence, totaling <u>19</u> pages including recited attachments, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.: 571-273-8300 (Central number) on the below date: Date: <u>September 26, 2006</u> Name: <u>Shirley Courcy</u> Signature: <u>Shirley Courcy</u>	
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BRINKS
HOFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Roman Heckl, et al.
 Appln. No.: 10/802,194
 Filed: March 17, 2004
 For: HEAT EXCHANGER ASSEMBLY
 Attorney Docket No: 10541-1989

Examiner: John K. Ford
 Art Unit: 3753

MS AMENDMENT
 Commissioner for Patents
 P. O. Box 1450
 Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ REPLY UNDER 37 CFR 1.111; 2 SHEETS REPLACEMENT DRAWINGS (FIGS. 3-6)
☐ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
☐ Small Entity.
☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total	5	Minus	20	0	x \$25=			x \$50=	
Indep.	1	Minus	3	0	x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+ \$180=			+ \$360=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
☐ Please charge Deposit Account No. 06-1500 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 06-1500.

Respectfully submitted,

Eric J. Sosenko
 Eric J. Sosenko (Reg. No. 34,440)

September 26, 2006
 Date

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REPLY UNDER 37 C.F.R. §1.111

In response to the Office Action mailed June 26, 2006, Applicants respectfully submit this paper comprising the following parts:

- I. **Amendments to the Specification**, page 2;
- II. **Listing of Claims**, page 4;
- III. **Amendments to the Drawings**, page 7; and
- IV. **Remarks**, beginning on page 8.

Applicants note that the Transmittal to which this paper is attached includes a Certificate under 37 C.F.R §1.8; and a fee statement calculating any fee(s) presently due in connection with the filing of this paper, along with an authorization to charge any fee deficiency to the deposit account of Applicants' assignee, Visteon Global Technologies, Inc., Deposit Account No. 06-1500.

BRINKS
HOFER
GILSON
ALONE